

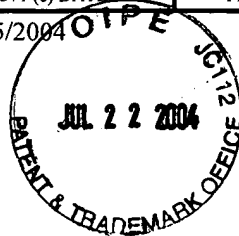


## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/786,413	02/25/2004	Ducksoo Kim	HTC-003

David Prashker  
 DAVID PRASHKER, P.C.  
 P.O. Box 5387  
 Magnolia, MA 01930



CONFIRMATION NO. 2801

## FORMALITIES LETTER



\*OC000000012762958\*

Date Mailed: 05/25/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 32B, 33A, 35B, 40B and 44. However, PTO received figures 32B and 33A but PTO did not receive figures 35B, 40B and 44 as described in the Specification..

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

07/23/2004 MAHME1 00000053 10786413

- \$65 Late oath or declaration Surcharge.

01 FC:2051

65.00 DP

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ducksoo Kim  
Serial No. : 10/786,413  
Filed : February 25, 2004  
For : "METHOD FOR SURGICALLY JOINING A  
VENTRICULAR ASSIST DEVICE TO THE  
CARDIOVASCULAR SYSTEM OF A LIVING SUBJECT  
USING A PIERCING INTRODUCER ASSEMBLY"  
Examiner : unknown  
Group Art Unit : 3764  
Attorney's Docket No. : HTC-003

\*\*\*\*\*

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Mail Stop: Missing Parts, Alexandria, Virginia 22313-1450 on: July 20, 2004.

Attorney for applicant: David Prashker

Signature: David Prashker

Date: July 20, 2004

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REPLY TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILED UNDER  
37 CFR 1.53(b), FILING DATE GRANTED

Commissioner for Patents  
P.O. Box 1450  
Mail Stop: Missing Parts  
Alexandria, Virginia 22313-1450

Dear Sir:

In response to the Notice To File Missing Parts Of NonProvisional  
Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed May

25, 2004, please find enclosed the following documents for filing on behalf of applicants and for formal entry into the above-identified application:

1. A combined Declaration And Power Of Attorney which identifies the present application by the serial number and filing date affixed thereto and which is signed and dated by the sole named inventor. This signed Declaration And Power Of Attorney was signed by the sole named inventor only after first reviewing the original Specification now of record.

2. An Amendment Of Specification And Request For Entry Of Replacement Paragraph Pages.

3. A Clean Copy Version Of Amended Specification Submitted Pursuant To 37 C.F.R. 1.121(b)(1)(ii).

4. A Marked Up Version Of Amended Specification Submitted Pursuant To 37 C.F.R. 1.121(b)(1)(iii).

5. One copy of the Notice To File Missing Parts Of NonProvisional Application Filed Under 37 C.F.R. 1.53(b), Filing Date Granted as mailed May 25, 2004.

In addition, in view of the enclosed document and applicants' status as a Small Entity, a requisite surcharge fee payment is now also due in the amount of sixty five (\$65.00) dollars. Accordingly, please find enclosed another check in the amount of sixty five (\$65.00) dollars in complete payment of the surcharge fee [FY2004] now due. This additional payment of

surcharge fee by check is deemed to satisfy in full all the requisite fees now due for the above-identified application.

Respectfully submitted,

DUCKSOO KIM

Date: July 20, 2004  
P.O. Box 5387  
Magnolia, Massachusetts 01930  
Tel.: (978) 525-3794

By: David Prashker  
David Prashker  
Reg. No. 29,693  
Attorney for applicant